### **Iowa State University Center for Survey Statistics & Methodology**

#### **Union of Concerned Scientists**

### **Survey of Federal Scientists** 2018

Thank you for your willingness to complete this anonymous survey of scientists affiliated with the federal agencies listed below. Approximately 63,000 scientists have been invited to complete this survey. Please write legibly and respond thoughtfully and honestly to each question. Unless it is otherwise noted, circle one response for each item. Return your completed survey to the address provided after the final question. For more information about this survey, please visit www.ucsusa.org/2018survey.

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#### 1. What is your primary affiliation?

1 = ARS	USDA Agricultural Research Service
2 = BOEM	Bureau of Ocean Management
3 = BSEE	Bureau of Safety and Environmental Enforcement
4 = CDC	Centers for Disease Control and Prevention
5 = EERE	US Department of Energy Office of Energy Efficiency & Renewable Energy
6 = EPA	US Environmental Protection Agency
7 = ERS	USDA Economic Research Service
8 = FDA	US Food and Drug Administration
9 = NASS	USDA National Agricultural Statistics Service
10 = NIFA	USDA National Institute of Food and Agriculture
11 = NOAA	National Oceanic and Atmospheric Administration
12 = USFWS	US Fish and Wildlife Service
13 = USGS	US Geological Survey
14 = US Census	Bureau
15 = US Nationa	al Park Service
16 = NHTSA	National Highway Traffic Safety Administration
17 = Other (Plea	ase explain:

#### 2. What division do you work in within your agency?

#### 3. Approximately what percentage of your job duties involves science?

(Note: Scientific work may include, but is not limited to, basic research, laboratory testing, data collection, risk assessment, veterinary medicine, economic analysis, science policy and other topics.)

- 1 = None [IF NONE, PLEASE GO TO QUESTION 58, PAGE 14.]
- 2 = 1-25% scientific work
- 3 = 26-50% scientific work
- 4 = 51-75% scientific work
- 5 = 76-100% scientific work

#### **Agency Mission and Effectiveness.**

Preamble: The following questions aim to understand federal scientists' attitudes regarding the mission and effectiveness of your agency. Effectiveness is defined in this survey as the ability to meet intended or expected outcomes as per the agency's mission.

4. Compared to one year ago, the effectiveness of your division or office has:

	Stayed the		Don't	Not	Prefer Not to
Increased	Same	Decreased	Know	Applicable	Disclose
1	2	3	4	5	6

5. Over the past year, your personal job satisfaction at your agency has:

Stayed the			Don't	Not	Prefer Not to
Increased	Same	Decreased	Know	Applicable	Disclose
1	2	3	4	5	6

6. How would you rate morale within your center/office/service?

				Extremely	Don't	Prefer Not to
Excellent	Good	Fair	Poor	Poor	Know	Disclose
1	2	3	4	5	6	7

Please indicate the extent to which you agree or disagree with the following statements.

7a. In the last year, I have noticed workforce reductions at my agency due to staff departures, retirements, and/or hiring freezes.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**7b.** [IF Q7a = Agree or Strongly Agree:]

Such workforce reductions have made it more difficult for my agency to fulfill its science-based mission.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

8. Thinking about the past year, the mix of tasks I am asked to perform is relevant to my expertise and job description.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

9. Over the past year, I have noticed that resource allocations (e.g., funding, staff time) have been distributed away from programs and offices whose work is viewed as politically contentious.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

10. In your opinion, what are the greatest barriers to science-based decisions at your agency?

(Circle up to 3.)

- 1 = Delay in leadership making a decision
- 2 = Absence of leadership with needed scientific expertise
- 3 = Uncertainty or disagreement with the science
- 4 = Influence of political appointees in your agency or department
- 5 = Influence of the White House
- 6 = Influence of Congress
- 7 = Influence of other agencies
- 8 = Influence of industry stakeholders
- 9 = Influence of non-governmental interests (such as advocacy groups)
- 10 = Inefficient decision-making process within the Agency
- 11 = Potential discrepancy with existing rules or regulations
- 12 = Uncertainty of Agency jurisdiction
- 13 = Complexity of the issue
- 14 = Limited staff capacity
- 15 = Other (Please describe:
- 16 = Prefer Not to Disclose

#### **Employee Working Environment.**

Please indicate the extent to which you agree or disagree with the following statements.

11. My direct supervisor consistently stands behind scientists who put forth scientifically defensible positions that may be politically contentious.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

12. I am provided adequate time and resources to keep up with advances in my profession, such as attending conferences and trainings, and participation in scientific or professional societies.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

13. The number of scientific conferences attended by agency scientists in the past year is similar to the number attended three years ago.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

14. Currently, I can openly express any concerns about the mission-driven work of my agency without fear of	f
retaliation (i.e., inappropriate criticism or consequences).	

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

15. I have been asked or told to omit certain words in my scientific work products because they are politically contentious.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

16a. I have been asked or told to avoid work on specific scientific topics because they are politically contentious.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**16b.** [IF Q16a = Agree or Strongly Agree:]

This has adversely impacted my effectiveness at my job at my agency.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

17. I have avoided working on certain scientific topics or using certain scientific terms because they are politically contentious, though I was not explicitly told to avoid them.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

18. Currently, I am allowed to publish work in peer-reviewed scientific journals regardless of the level of controversy of the topic.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

19. Currently, I am allowed to speak to the public and the news media (including at conferences and professional meetings) about my scientific research findings, regardless of the level of political contentiousness of the topic.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### Science.

Please indicate how often you feel each of the following statements is true.

20. My agency collects the scientific and monitoring information needed to effectively meet its mission.

					Don't	Prefer Not to
Always	Frequently	Occasionally	Seldom	Never	Know	Disclose
1	2	3	4	5	6	7

21. My agency's determinations and actions are consistent with the scientific findings contained in agency documents and reports.

					Don't	Prefer Not to
Always	Frequently	Occasionally	Seldom	Never	Know	Disclose
1	2	3	4	5	6	7

22. Expert advice from scientific advisory committees is heeded and incorporated into agency decisions.

					Don't	Prefer Not to
Always	Frequently	Occasionally	Seldom	Never	Know	Disclose
1	2	3	4	5	6	7

Please indicate the extent to which you agree or disagree with the following statements.

23. I feel that my scientific work and opinions consistently inform policy decisions.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

24. In the past year, I have been excluded from discussions or decisions related to my scientific work that I normally would expect to be a part of.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

25. I have been pressured or directed by supervisors or senior level officials to NOT attend professional meetings or give public talks on my scientific work.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

26. Advisory committees at my agency are constituted by individuals with appropriate expertise and who are able to provide independent scientific advice.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

27. III tiic last veal, tiic expertise on scientiile aavisol v colliilittees at iliv agenev has.	27. In the last year.	the expertise on scientific advisor	v committees at my agency has:
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Significantly	Somewhat	No Change	Somewhat	Significantly	Don't	Prefer Not to
Improved	Improved	No Change	Deteriorated	Deteriorated	Know	Disclose
1	2	3	4	5	6	7

#### Outside Influence & Political Interference.

Please indicate the extent to which you agree or disagree with the following statements.

### 28a. The level of consideration of <u>political interests</u> hinders the ability of my agency to make science-based decisions.

Strongly		Do not agree		Strongly	Prefer Not to
Disagree	Disagree	or disagree	Agree	Agree	Disclose
1	2	3	4	5	6

### 28b. The level of consideration of <u>business interests</u> hinders the ability of my agency to make science-based decisions.

Strongly		Do not agree		Strongly	Prefer Not to
Disagree	Disagree	or disagree	Agree	Agree	Disclose
1	2	3	4	5	6

### 28c. The level of consideration of <u>non-governmental organization interests</u> hinders the ability of my agency to make science-based decisions.

Strongly		Do not agree		Strongly	Prefer Not to
Disagree	Disagree	or disagree	Agree	Agree	Disclose
1	2	3	4	5	6

## 28d. The level of consideration of <u>public opinion</u> hinders the ability of my agency to make science-based decisions.

Strongly		Do not agree		Strongly	Prefer Not to
Disagree	Disagree	or disagree	Agree	Agree	Disclose
1	2	3	4	5	6

# 29. The presence of senior decision makers in my agency who come from regulated industry or those with financial interest in regulatory outcomes inappropriately influences the decisions made by the agency.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### Interaction with the Public and the Press.

- 30. Are you able to review, prior to publication, the final drafts of agency communications that are being published under your name and/or that substantially rely on your research?
  - 1 = Yes
  - 2 = No
  - 3 = Don't Know
  - 4 = Prefer Not to Disclose
- 31a. Are you required to obtain agency pre-approval to communicate with journalists?
  - 1 = Yes
  - 2 = No
  - 3 = Don't Know
  - 4 = Prefer Not to Disclose
- 31b. [IF Q31a = Yes:] Has this affected your ability to communicate your science externally?
  - 1 = Yes
  - 2 = No
  - 3 = Don't Know
  - 4 = Prefer Not to Disclose
- 32. In the past year, how has your ability to communicate your scientific work to the public and to the media, including the use of social media, changed?

Significantly	Somewhat		Somewhat	Significantly	Don't	Prefer Not to
Improved	Improved	No Change	Deteriorated	Deteriorated	Know	Disclose
1	2	3	4	5	6	7

#### Agency Scientific Integrity and Whistleblower Policies.

Each agency included in this survey has a scientific integrity policy that is intended to safeguard the integrity of agency science by setting clear standards for employees and the use of scientific information, and institutes a process for resolving disputes. Please indicate the extent to which you agree or disagree with each of the following statements.

33. My agency adheres to its scientific integrity policy.

Strongly		Do Not Agree		Strongly	Don't	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Know	Disclose
1	2	3	4	5	6	7

34. I have received adequate training regarding the contents and procedures in my agency's scientific integrity policy.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

Among other protections, federal whistleblower laws (including the Whistleblower Protection Act and the Whistleblower Protection Enhancement Act) protect federal scientists from retaliation who report information they reasonably believe displays:

- A violation of law, rule or regulation;
- Gross mismanagement;
- A gross waste of funds;
- Abuse of authority;
- A substantial and specific danger to public health and safety; or
- Censorship related to research, analysis or technical information that is, or will cause, any of the above forms of misconduct

To what extent do you disagree or agree with the following statement?

#### 35. I have been adequately trained on whistleblower rights and protections.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### 36. If I were to obtain knowledge about a scientific integrity issue, I would most likely:

(Examples of scientific integrity issues include political appointees changing data in a scientific report, scientists being restricted from talking to the media/public, suppression of scientific information that could result in public harm, undue influence of outside stakeholders on agency scientific decisions, etc.)

- 1 = Be willing to come forward. I trust that the agency would fairly assess and address the issue.
- 2 = Be willing to come forward, although I do not trust that the agency would fairly assess and address the issue.
- 3 = Not feel comfortable coming forward. I do not trust that the agency would fairly assess and address the issue.
- 4 = Not feel comfortable coming forward, although I do trust that the agency would fairly assess and address the issue.
- 5 = Not feel comfortable coming forward because I would fear suffering retaliation for reporting the violation.
- 6 = Don't Know
- 7 = Prefer Not to Disclose

**NOTE:** 

<u>FDA</u> SCIENTISTS: Skip to Q40a, next page. <u>NHTSA</u> SCIENTISTS: Skip to Q45, page 12.

CENSUS BUREAU SCIENTISTS: Skip to Q50, page 13.

#### **ALL OTHERS CONTINUE BELOW.**

Please indicate the extent to which you agree or disagree with each of the following statements.

#### 37. I have been asked or told to omit the phrase "climate change" from my work.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### 38a. I have been asked or told to avoid work on climate change.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### **38b.** [IF Q38a = Agree or Strongly Agree:]

#### This has adversely impacted my effectiveness at my job at my agency.

Strongly	Do Not Agree		Strongly	Prefer Not to	
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

### 39. I have avoided working on climate change or using the phrase "climate change," though I was not explicitly told to avoid them.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### Agency Specific Questions: FOR FDA SCIENTISTS ONLY. (All others, go to next page.)

Please indicate the extent to which you agree or disagree with each of the following statements.

## 40a. Rules and regulations supported by scientific evidence that were final or near final have been delayed.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

40b.	[IF Q40a = Agree or Strongly Agree:]	Which rules and regulations have been delayed?
	(Please explain below.)	

(Pieuse expluin below.)	rieuse expluiil below.)						

### 41. I have felt pressure to lower or relax scientific, clinical reviews, or inspection standards.

Strongly Do Not		Do Not Agree	ot Agree		Prefer Not to	
Disagree	Disagree	or Disagree	Agree	Agree	Disclose	
1	2	3	4	5	6	

#### 42a. Time pressures have undermined the scientific rigor of my work.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**42b.** [IF Q42a = Agree or Strongly Agree:]

Is this related to deadlines and user fee requirements?

1 = Yes

2 = No

3 = Don't Know

4 = Prefer Not to Disclose

# <u>Agency Specific Questions</u>: FOR <u>USDA (ARS, ERS, NIFA, NASS)</u> SCIENTISTS ONLY. (All others, go to next page.)

Please indicate the extent to which you agree or disagree with each of the following statements.

43a. My agency has received input or guidance on its scientific work or other information about cross-departmental scientific coordination from the USDA's Office of the Chief Scientist (OCS) during the past year.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**43b.** [IF Q43a = Disagree or Strongly Disagree:]

This lack of input/feedback about cross-departmental scientific coordination is a departure from previous OCS practice.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

44a. Over the past year, the OCS has convened the USDA Science Council about as regularly as in previous years.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**44b.** [IF Q44a = Disagree or Strongly Disagree:]

This has hampered cross-departmental scientific coordination and collaboration and made it more difficult for my agency to fulfill its science-based mission.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

# <u>Agency Specific Questions</u>: FOR <u>BOEM, BSEE, & NHTSA</u> SCIENTISTS ONLY. (All others, go to next page.)

Please indicate the extent to which you agree or disagree with each of the following statements.

45. Current procedures for assessing the environmental impacts of my agency's actions are adequate.
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Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### 46. Current procedures for assessing the climate change impacts of my agency's actions are adequate.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

### 47a. In the past year, rules and regulations supported by scientific evidence that were final or near final have been delayed or overturned.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**47b.** [IF Q47a = Agree or Strongly Agree:] **Which rules and regulations have been delayed or overturned?** (Please explain below.)

48a. In the past year, I have felt pressure or was directed to lower or relax scientific or analytic standards.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

48b. In recent years, increasing time pressures have undermined the scientific rigor of my work.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

**49.** [NHTSA scientists only:] **Current practices for assessing the impacts of my agency's actions on consumers** adequately consider scientific evidence.

Strongly		Do Not Agree		Strongly	Prefer Not to
Disagree	Disagree	or Disagree	Agree	Agree	Disclose
1	2	3	4	5	6

#### **Demographic Background.** (For all respondents.)

#### 50. What is your current grade level?

- 1 = GS-11 or lower
- 2 = GS-12 and above
- 3 = Commissioned Corps
- 4 = Student
- 5 = Fellow
- 6 = Visiting Scientist
- 7 = Contractor
- 8 = Other (Please specify:
- 9 = Prefer Not to Disclose

### 51. How long have you been working at your agency?

- 1 = Less than 3 years
- 2 = 3-5 years
- 3 = 6-10 years
- 4 = 11-15 years
- 5 = More than 15 years
- 6 = Prefer Not to Disclose

# 52. Have you ever worked for regulated industry or a group representing them?

- 1 = Yes
- 2 = No
- 3 = Prefer Not to Disclose

### 53. What is the highest level of education you have completed?

- 1 = Bachelor's Degree
- 2 = Master's Degree
- 3 = PhD
- 4 = MD
- 5 = JD
- 6 = DVM
- 7 = Other (Please specify:
- 8 = Prefer Not to Disclose

## 54. To which racial or ethnic group(s) do you most identify? (Circle all that apply.)

- 1 = White, Caucasian
- 2 = Hispanic or Latino/a
- 3 = Black or African American
- 4 = Native American or American Indian
- 5 = Asian / Pacific Islander
- 6 = Other
- 7 = Prefer Not to Disclose

#### 55. What is your gender?

- 1 = Male
- 2 = Female
- 3 = Nonbinary
- 4 = Prefer Not to Disclose

#### **Open-Ended Questions.**

Preamble: The following questions are open-ended to allow respondents to share further details regarding scientific integrity at their agency. For confidentiality purposes, please refrain from including any identifying information in your responses such as names of current career employees. You can also choose to contact UCS outside of this survey to share information about anything that inhibits the role of science in policymaking. (See <a href="https://www.ucsusa.org/2018survey">https://www.ucsusa.org/2018survey</a>.)

56a. How have actions taken or changes made by the current administration related to science (positive or negative) helped or harmed your agency's mission?
56b. In particular, have you observed any potential impacts of policy actions on low-income communities, sensitive subpopulations and people of a minority race/ethnicity?
57. Please describe any specific problems related to scientific integrity or examples where scientific integrity was upheld, that deserve more public attention.
(e.g. inappropriate interference by commercial, political, or nongovernmental interests, selective or incomplete use of data, significant edits that change the meaning of findings, new or unusual administrative policies that impair your work, requests to use data or methods that are not credible, etc.)
58. Is there anything else you would like to share with us regarding scientific integrity at your agency?

Thank you!
Your cooperation and assistance is greatly appreciated!

You may email your scanned completed survey to cssmqualtrics@iastate.edu or mail your completed survey to:

Center for Survey Statistics and Methodology Iowa State University 2401 Osborn Drive 112 Office and Lab Building Ames, Iowa 50011